

ENE4014: Programming Languages

Lecture 2 — Inductive Definitions (2)

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Contents

- More examples of inductive definitions
 - ▶ natural numbers, strings, booleans
 - ▶ lists, binary trees
 - ▶ arithmetic expressions, propositional logic
- Structural induction
 - ▶ three example proofs

Natural Numbers

The set of natural numbers:

$$\mathbb{N} = \{0, 1, 2, 3, \dots\}$$

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$$\bar{0} \quad \frac{n}{n+1}$$

The inference rules can be expressed by a grammar:

$$n \rightarrow 0 \mid n + 1$$

Interpretation:

- 0 is a natural number.
- If n is a natural number then so is $n + 1$.

Strings

The set of strings over alphabet $\{a, \dots, z\}$, e.g., ϵ , a , b , \dots , z , aa , ab , \dots , az , ba , \dots , az , aaa , \dots , zzz , and so on.

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$$\bar{\epsilon} \quad \frac{\alpha}{a\alpha} \quad \frac{\alpha}{b\alpha} \quad \dots \quad \frac{\alpha}{z\alpha}$$

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or simply,

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or simply,

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In grammar:

$$\begin{array}{l} \alpha \rightarrow \epsilon \\ \quad | \quad x\alpha \quad (x \in \{a, \dots, z\}) \end{array}$$

Boolean Values

The set of boolean values:

$$\mathbb{B} = \{\mathit{true}, \mathit{false}\}.$$

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$$\mathbb{B} = \{true, false\}.$$

If a set is finite, just enumerate all of its elements by axioms:

$$\overline{true} \quad \overline{false}$$

In grammar:

$$b \rightarrow true \mid false$$

Lists

Examples of lists of integers:

- 1 **nil**
- 2 **14 · nil**
- 3 **3 · 14 · nil**
- 4 **-7 · 3 · 14 · nil**

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Inference rules:

$$\frac{}{\mathbf{nil}} \quad \frac{l}{n \cdot l} \quad n \in \mathbb{Z}$$

In grammar:

$$l \rightarrow \begin{array}{l} \mathbf{nil} \\ | \\ n \cdot l \quad (n \in \mathbb{Z}) \end{array}$$

Lists

A proof that $-7 \cdot 3 \cdot 14 \cdot \mathbf{nil}$ is a list of integers:

$$\frac{\frac{\frac{\overline{\mathbf{nil}}}{14 \cdot \mathbf{nil}}}{3 \cdot 14 \cdot \mathbf{nil}}}{-7 \cdot 3 \cdot 14 \cdot \mathbf{nil}} \quad \begin{array}{l} 14 \in \mathbb{Z} \\ 3 \in \mathbb{Z} \\ -7 \in \mathbb{Z} \end{array}$$

The proof tree is also called *derivation tree* or *deduction tree*.

Binary Trees

Examples of binary trees:

- ① **leaf**
- ② **(2, leaf, leaf)**
- ③ **(1, (2, leaf, leaf), leaf)**
- ④ **(1, (2, leaf, leaf), (3, (4, leaf, leaf), leaf))**

Binary Trees

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- 1 **leaf**
- 2 **(2, leaf, leaf)**
- 3 **(1, (2, leaf, leaf), leaf)**
- 4 **(1, (2, leaf, leaf), (3, (4, leaf, leaf), leaf))**

Inference rules:

$$\frac{}{\mathbf{leaf}} \quad \frac{t_1 \quad t_2}{(n, t_1, t_2)} \quad n \in \mathbb{Z}$$

In grammar:

$$t \rightarrow \mathbf{leaf} \\ | \quad (n, t, t) \quad (n \in \mathbb{Z})$$

Binary Trees

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(1, (2, leaf, leaf), (3, (4, leaf, leaf), leaf))

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Binary Trees

A proof that

$(1, (2, \text{leaf}, \text{leaf}), (3, (4, \text{leaf}, \text{leaf}), \text{leaf}))$

is a binary tree:

$$\frac{\frac{\overline{\text{leaf}}}{(2, \text{leaf}, \text{leaf})} \quad 2 \in \mathbb{Z} \quad \frac{\frac{\overline{\text{leaf}}}{(4, \text{leaf}, \text{leaf})} \quad 4 \in \mathbb{Z}}{(3, (4, \text{leaf}, \text{leaf}), \text{leaf})} \quad 3 \in \mathbb{Z}}{(1, (2, \text{leaf}, \text{leaf}), (3, (4, \text{leaf}, \text{leaf}), \text{leaf}))} \quad 1 \in \mathbb{Z}$$

Binary Trees: a different version

Binary tree examples: 1 , $(1, \mathbf{nil})$, $(1, 2)$, $((1, 2), \mathbf{nil})$, $((1, 2), (3, 4))$.

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Inference rules:

$$\overline{n} \quad n \in \mathbb{Z} \qquad \frac{t}{(t, \mathbf{nil})} \qquad \frac{t}{(\mathbf{nil}, t)} \qquad \frac{t_1 \quad t_2}{(t_1, t_2)}$$

In grammar:

$$\begin{array}{l} t \rightarrow n \quad (n \in \mathbb{Z}) \\ \quad | \quad (t, \mathbf{nil}) \\ \quad | \quad (\mathbf{nil}, t) \\ \quad | \quad (t, t) \end{array}$$

A proof that $((1, 2), (3, \mathbf{nil}))$ is a binary tree:

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Inference rules:

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In grammar:

$$\begin{array}{l} t \rightarrow n \quad (n \in \mathbb{Z}) \\ \quad | \quad (t, \mathbf{nil}) \\ \quad | \quad (\mathbf{nil}, t) \\ \quad | \quad (t, t) \end{array}$$

A proof that $((1, 2), (3, \mathbf{nil}))$ is a binary tree:

$$\frac{\frac{\overline{1} \quad \overline{2}}{\overline{(1, 2)}} \quad \frac{\overline{3}}{\overline{(3, \mathbf{nil})}}}{\overline{((1, 2), (3, \mathbf{nil}))}}$$

Expressions

Expression examples: 2 , -2 , $1 + 2$, $1 + (2 * (-3))$, etc.

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Inference rules:

$$\overline{n} \quad n \in \mathbb{Z} \quad \frac{e}{-e} \quad \frac{e_1 \quad e_2}{e_1 + e_2} \quad \frac{e_1 \quad e_2}{e_1 * e_2} \quad \frac{e}{(e)}$$

In grammar:

$$e \rightarrow \begin{array}{l} n \quad (n \in \mathbb{Z}) \\ | \\ -e \\ | \\ e + e \\ | \\ e * e \\ | \\ (e) \end{array}$$

Example:

$$\frac{\overline{3}}{-3}}{\overline{2} \quad (-3)}}{\overline{2 * (-3)}}}{\overline{1} \quad (2 * (-3))}}{\overline{1 + (2 * (-3))}}$$

Propositional Logic

Examples:

- T, F
- $T \wedge F$
- $T \vee F$
- $(T \wedge F) \wedge (T \vee F)$
- $T \Rightarrow (F \Rightarrow T)$

Propositional Logic

Syntax:

$$\begin{array}{l} f \rightarrow T \mid F \\ | \neg f \\ | f \wedge f \\ | f \vee f \\ | f \Rightarrow f \end{array}$$

Semantics ($\llbracket f \rrbracket$):

$$\begin{array}{l} \llbracket T \rrbracket = \text{true} \\ \llbracket F \rrbracket = \text{false} \\ \llbracket \neg f \rrbracket = \text{not } \llbracket f \rrbracket \\ \llbracket f_1 \wedge f_2 \rrbracket = \llbracket f_1 \rrbracket \text{ and also } \llbracket f_2 \rrbracket \\ \llbracket f_1 \vee f_2 \rrbracket = \llbracket f_1 \rrbracket \text{ or else } \llbracket f_2 \rrbracket \\ \llbracket f_1 \Rightarrow f_2 \rrbracket = \llbracket f_1 \rrbracket \text{ implies } \llbracket f_2 \rrbracket \end{array}$$

Propositional Logic

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$$\begin{aligned} \llbracket (T \wedge (T \vee F)) \Rightarrow F \rrbracket &= \llbracket T \wedge (T \vee F) \rrbracket \text{ implies } \llbracket F \rrbracket \\ &= (\llbracket T \rrbracket \text{ andalso } \llbracket T \vee F \rrbracket) \text{ implies } \textit{false} \\ &= (\textit{true} \text{ andalso } (\llbracket T \rrbracket \text{ orlse } \llbracket F \rrbracket)) \text{ implies } \textit{false} \\ &= (\textit{true} \text{ andalso } (\textit{true} \text{ orlse } \textit{false})) \text{ implies } \textit{false} \\ &= \textit{false} \end{aligned}$$

Structural Induction

A technique for proving properties about inductively defined sets.

To prove that a proposition $P(s)$ is true for all structures s , prove the following:

- 1 (Base case) P is true on simple structures (those without substructures)
- 2 (Inductive case) If P is true on the substructures of s , then it is true on s itself. The assumption is called *induction hypothesis (I.H.)*.

Example 1

Let S be the set defined by the following inference rules:

$$\frac{}{\mathbf{3}} \quad \frac{\mathbf{x} \quad \mathbf{y}}{\mathbf{x} + \mathbf{y}}$$

Prove that for all $x \in S$, x is divisible by $\mathbf{3}$.

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Let S be the set defined by the following inference rules:

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Proof. By structural induction.

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- (Base case) The base case is when x is $\mathbf{3}$. Obviously, x is divisible by $\mathbf{3}$.
- (Inductive case) The induction hypothesis (I.H.) is

$$x \text{ is divisible by } \mathbf{3}, \quad y \text{ is divisible by } \mathbf{3}.$$

$$\text{Let } x = \mathbf{3}k_1 \text{ and } y = \mathbf{3}k_2.$$

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Let $x = \mathbf{3}k_1$ and $y = \mathbf{3}k_2$. Using I.H., we derive

$$x + y \text{ is divisible by } \mathbf{3}$$

as follows:

$$\begin{aligned} x + y &= \mathbf{3}k_1 + \mathbf{3}k_2 \quad \dots \text{ by I.H.} \\ &= \mathbf{3}(k_1 + k_2) \end{aligned}$$



Example 2

Let S be the set defined by the following inference rules:

$$\frac{}{()} \quad \frac{x}{(x)} \quad \frac{x \quad y}{xy}$$

Prove that every element of the set has the same number of ('s and) 's.

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Let S be the set defined by the following inference rules:

$$\frac{}{()} \quad \frac{x}{(x)} \quad \frac{x \quad y}{xy}$$

Prove that every element of the set has the same number of '('s and ')'s.

Proof Restate the claim formally:

$$\text{If } x \in S \text{ then } l(x) = r(x)$$

where $l(x)$ and $r(x)$ denote the number of '('s and ')'s, respectively:

$$\begin{array}{ll} l(()) = 1 & r(()) = 1 \\ l((x)) = l(x) + 1 & r((x)) = r(x) + 1 \\ l(xy) = l(x) + l(y) & r(xy) = r(x) + r(y) \end{array}$$

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We prove it by structural induction:

- (Base case): The base case is when $x = ()$. Then $l(x) = 1 = r(x)$.

Example 2

- (Inductive case): There are two inductive cases:

$$\frac{x}{(x)} \quad \frac{x \quad y}{xy}$$

Induction hypotheses (I.H.):

$$l(x) = r(x), \quad l(y) = r(y).$$

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$$l(x) = r(x), \quad l(y) = r(y).$$

- ▶ The first case. We prove $l((x)) = r((x))$:

$$\begin{aligned} l((x)) &= l(x) + 1 && \dots \text{by definition of } l((x)) \\ &= r(x) + 1 && \dots \text{by I.H.} \\ &= r((x)) && \dots \text{by definition of } r((x)) \end{aligned}$$

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- ▶ The second case. We prove $l(xy) = r(xy)$:

$$\begin{aligned} l(xy) &= l(x) + l(y) && \dots \text{by definition of } l(xy) \\ &= r(x) + r(y) && \dots \text{by I.H.} \\ &= r(xy) && \dots \text{by definition of } r(xy) \end{aligned}$$



Example 3

Let \mathcal{T} be the set of binary trees:

$$\overline{\text{leaf}} \quad \frac{t_1 \ t_2}{(n, t_1, t_2)} \quad n \in \mathbb{Z}$$

Prove that for all such trees, the number of leaves is always one more than the number of internal nodes.

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Proof. Restate the claim more formally:

$$\text{If } t \in \mathcal{T} \text{ then } l(t) = i(t) + 1$$

where $l(t)$ and $i(t)$ denote the number of leaves and internal nodes, respectively:

$$\begin{array}{ll} l(\text{leaf}) & = 1 & i(\text{leaf}) & = 0 \\ l(n, t_1, t_2) & = l(t_1) + l(t_2) & i(n, t_1, t_2) & = i(t_1) + i(t_2) + 1 \end{array}$$

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We prove it by structural induction:

- (Base case): The base case is when $t = \text{leaf}$, where $l(t) = 1$ and $i(t) = 0$.

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We prove it by structural induction:

- (Base case): The base case is when $t = \text{leaf}$, where $l(t) = 1$ and $i(t) = 0$.
- (Inductive case): The induction hypothesis:

$$l(t_1) = i(t_1) + 1, \quad l(t_2) = i(t_2) + 1$$

Example 3

Let T be the set of binary trees:

$$\frac{}{\text{leaf}} \quad \frac{t_1 \quad t_2}{(n, t_1, t_2)} \quad n \in \mathbb{Z}$$

Prove that for all such trees, the number of leaves is always one more than the number of internal nodes.

Proof. Restate the claim more formally:

$$\text{If } t \in T \text{ then } l(t) = i(t) + 1$$

where $l(t)$ and $i(t)$ denote the number of leaves and internal nodes, respectively:

$$\begin{array}{ll} l(\text{leaf}) = 1 & i(\text{leaf}) = 0 \\ l(n, t_1, t_2) = l(t_1) + l(t_2) & i(n, t_1, t_2) = i(t_1) + i(t_2) + 1 \end{array}$$

We prove it by structural induction:

- (Base case): The base case is when $t = \text{leaf}$, where $l(t) = 1$ and $i(t) = 0$.
- (Inductive case): The induction hypothesis:

$$l(t_1) = i(t_1) + 1, \quad l(t_2) = i(t_2) + 1$$

Using I.H., we prove $l((n, t_1, t_2)) = i((n, t_1, t_2)) + 1$:

$$\begin{aligned} l((n, t_1, t_2)) &= l(t_1) + l(t_2) && \text{definition of } l \\ &= i(t_1) + 1 + i(t_2) + 1 && \text{by induction hypothesis} \\ &= i(n, t_1, t_2) + 1 && \text{definition of } i \end{aligned}$$

Summary

- Computer science is full of inductive definitions.
 - ▶ primitive values: booleans, characters, integers, strings, etc
 - ▶ compound values: lists, trees, graphs, etc
 - ▶ language syntax and semantics
- Structural induction
 - ▶ a general technique for reasoning about inductively defined sets