



# Enumerative Synthesis

Woosuk Lee

---

CSE9116 SPRING 2024

Hanyang University

# Inductive Synthesis via Enumeration

---

**User Intent:** How to describe correctness specifications

**Programming-by-Example  
(PBE)**

**Input-output examples**

Logical formulas

Natural language description, etc.

**Enumeration + pruning**

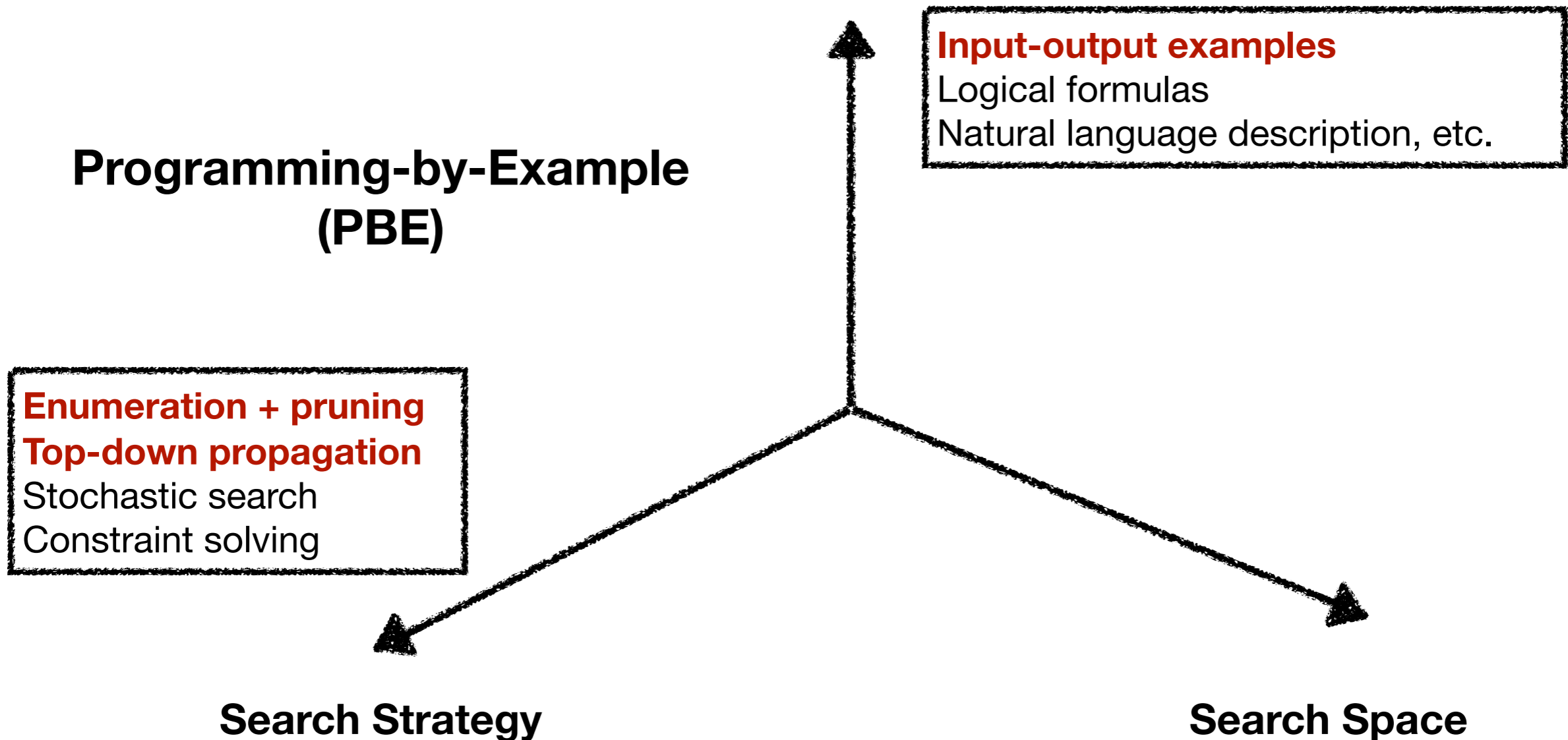
**Top-down propagation**

Stochastic search

Constraint solving

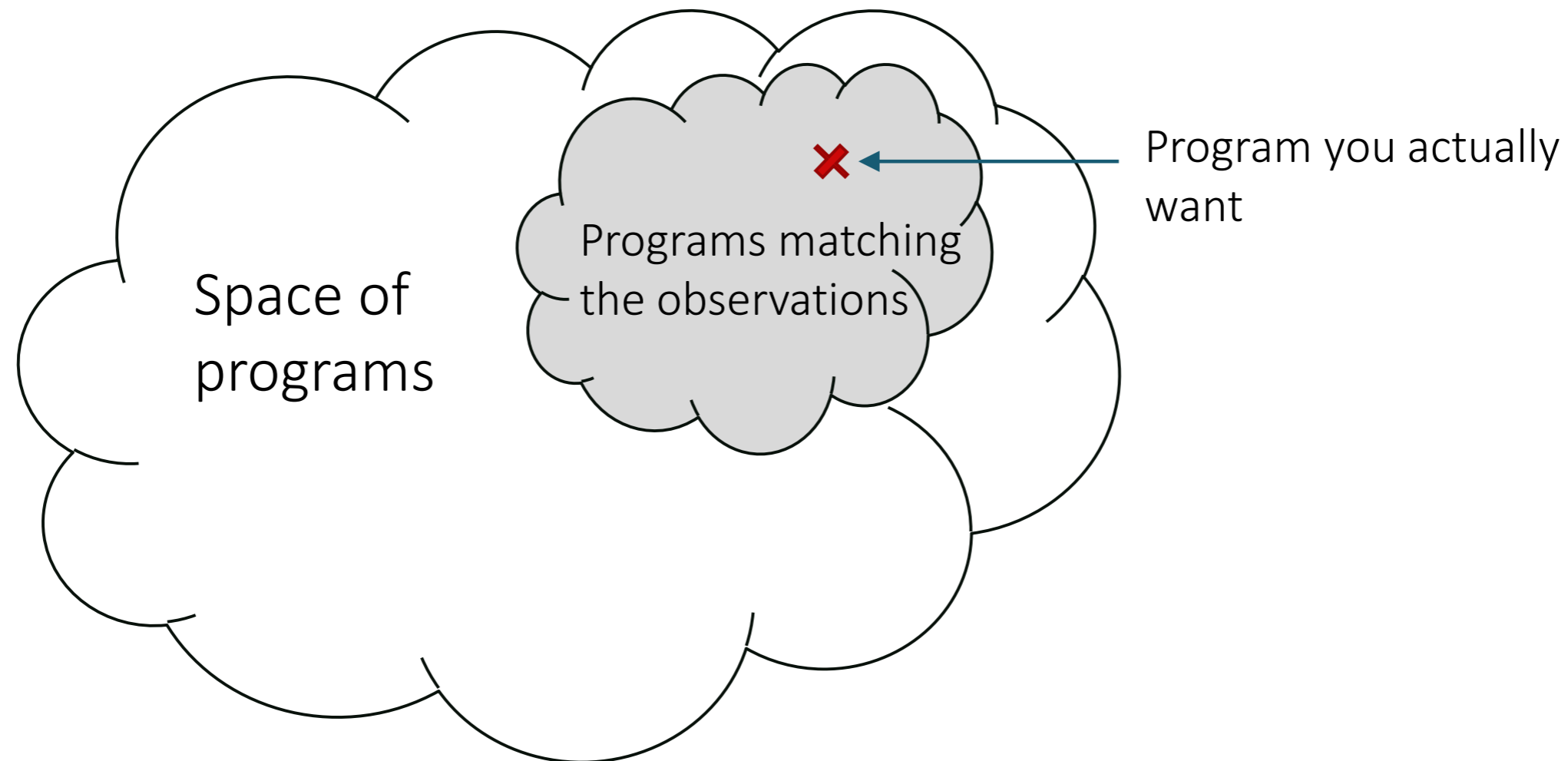
**Search Strategy**

**Search Space**



# Two Challenges in Inductive Synthesis

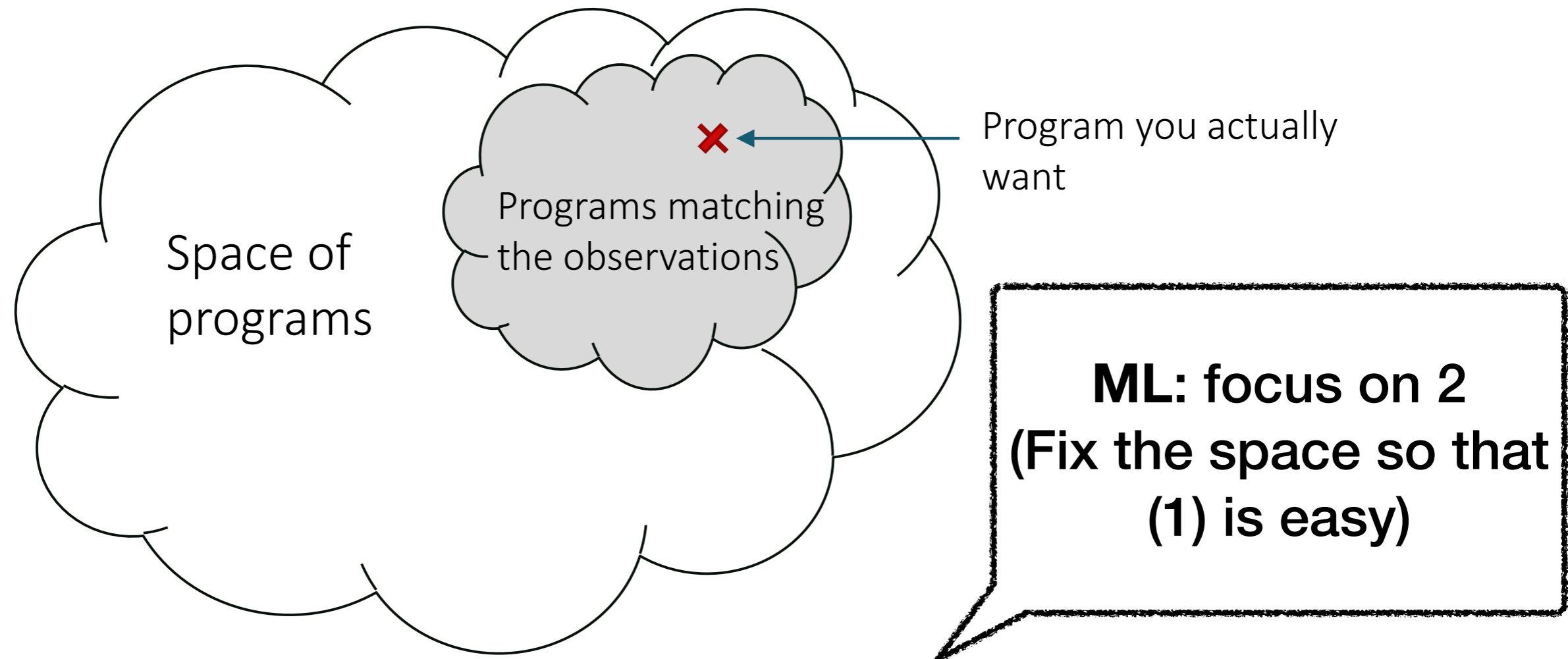
---



1. How do you find a program matching the examples?
2. How do you know it is the program you're looking for?  
(i.e., avoiding overfitting)

# Two Challenges in Inductive Synthesis

---

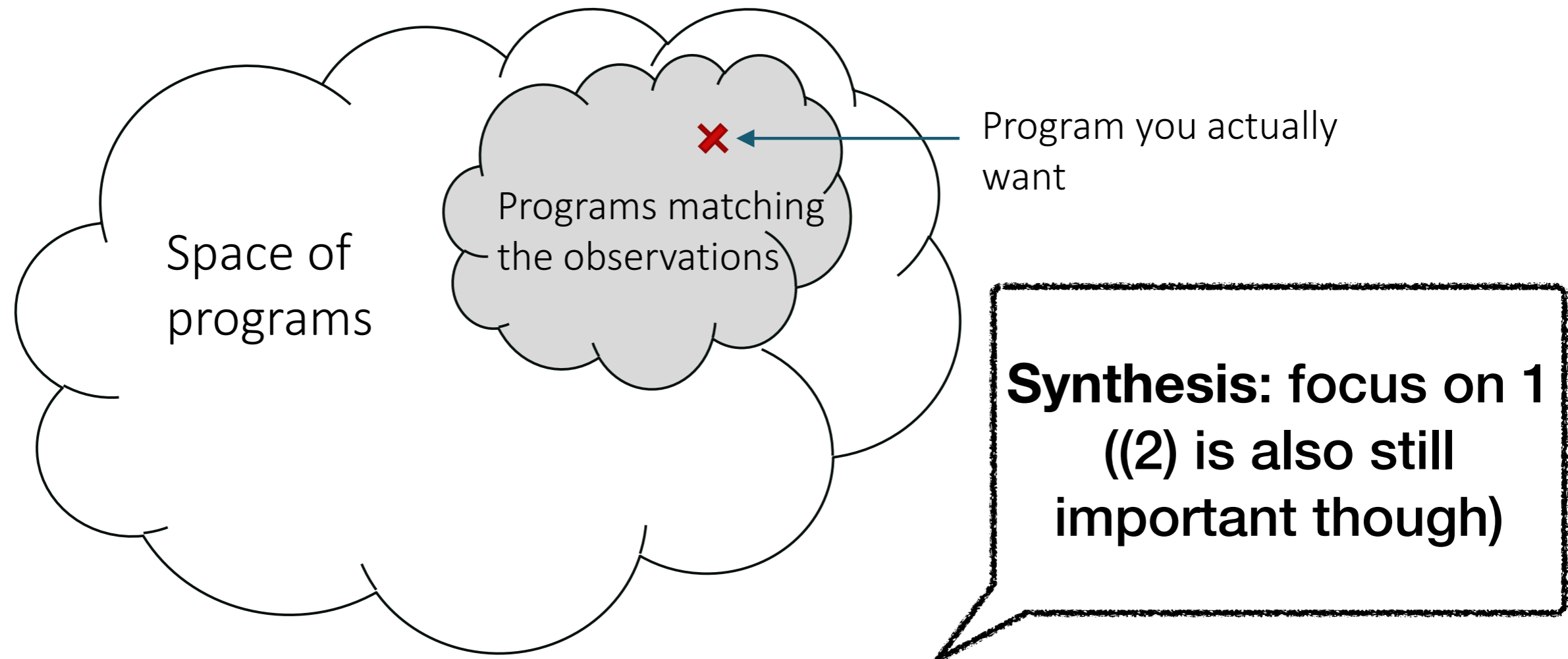


1. How do you find a program matching the examples?
  2. How do you know it is the program you're looking for?  
(i.e., avoiding overfitting)
-



# Two Challenges in Inductive Synthesis

---



1. How do you find a program matching the examples?
2. How do you know it is the program you're looking for?  
(i.e., avoiding overfitting)

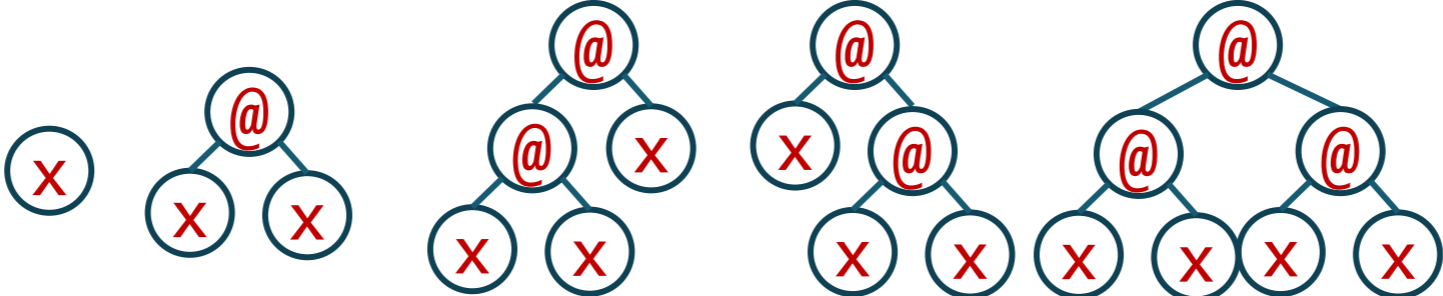
# How Large is the Search Space?

---

$E ::= x \mid E @ E$

depth  $\leq 0$    $N(0) = 1$

depth  $\leq 1$    $N(1) = 2$

depth  $\leq 2$    $N(2) = 5$

$$N(d) = 1 + N(d - 1)^2$$

# How Large is the Search Space?

---

$E ::= x \mid E @ E$

$$N(d) = 1 + N(d - 1)^2$$

$$N(d) \sim c^{2^d} \quad (c > 1)$$

$$N(1) = 1$$

$$N(2) = 2$$

$$N(3) = 5$$

$$N(4) = 26$$

$$N(5) = 677$$

$$N(6) = 458330$$

$$N(7) = 210066388901$$

$$N(8) = 44127887745906175987802$$

$$N(9) = 1947270476915296449559703445493848930452791205$$

$$N(10) = 3791862310265926082868235028027893277370233152247388584761734150717768254410341175325352026$$

# How Large is the Search Space?

---

$$E ::= E @_1 x_1 \mid \dots \mid x_k \mid E @_m E$$

$$N(0) = k$$

$$N(d) = k + m * N(d - 1)^2$$

$$N(1) = 3$$

$$N(2) = 30$$

$$N(3) = 2703$$

$$N(4) = 21918630$$

$$N(5) = 1441279023230703$$

$$N(6) = 6231855668414547953818685622630$$

$$N(7) = 116508075215851596766492219468227024724121520304443212304350703$$

$$k = m = 3$$

# Enumerative Search

---

- Sample candidates from a given grammar one by one and check if each candidate satisfies the spec
- How to sample?
  - *bottom-up vs. top-down*
- Various optimizations

# Bottom-Up Enumeration

---

- Starting from terminal symbols
- Repeatedly composing smaller programs into larger ones
- E.g., Target function  $f(x : \text{int}) : \text{int}$

Syntactic constraint:

$$S \rightarrow x \mid 1 \mid -S \mid S + S \mid S \times S$$

Semantic constraint:

$$f(1) = 2$$



# Bottom-Up Enumeration

---

$$x = 1 \because f(1) = 2$$

Size 1

$$x \quad 1$$

Size 2

$$-x \quad -1$$

Size 3

$$1 \times 1 \quad 1 \times x \quad x \times 1 \quad x \times x$$

$$x + 1$$

# Bottom-Up Enumeration

---

*BottomUp*(grammar  $G$ , specification  $\phi$ ) :

$P \leftarrow$  set of all terminals in  $G$

progSize  $\leftarrow$  1

**while** True **do**

$P \leftarrow$  ENUMERATEEXPRS( $G, P, \text{progSize}$ )

**foreach**  $p \in P$

**if**  $\phi(p)$  **then return**  $p$

progSize  $\leftarrow$  progSize + 1

# Room for Optimization

---

- Enumerated candidates are complete programs
  - E.g.,  $x + 1$
- Thus “executable”
  - can apply “**observational equivalence**” for optimization

# Pruning via Observational Equivalence

---

- Maintains a semantically unique set of expressions
  - i.e., no two expressions are functionally equivalent wrt inputs
- Applicable only if we want a single solution

# After Optimization

---

$$x = 1 \because f(1) = 2$$

Size 1

$x$  ~~1~~

Size 2

$-x$

Size 3

$x + x$

# After Optimization

$$x = 1 \because f(1) = 2$$

Size 1

$$x \quad 1$$

Size 2

$$-x \quad -1$$

Size 3

$$1 + 1 \quad 1 + x \quad x + 1$$

$$x + x$$

Only representatives of classes of

- programs that output **1**
- programs that output **(-1)**
- programs that output **2**

are explored.



# Bottom-Up Enumeration (improved)

---

*BottomUp*(grammar  $G$ , specification  $\phi$ ) :

$P \leftarrow$  set of all terminals in  $G$

progSize  $\leftarrow$  1

**while** True **do**

$P \leftarrow$  ENUMERATEEXPRS( $G, P, \text{progSize}$ )

$P \leftarrow \{p' \in P \mid \forall p \in P. \neg \text{EQUIV}(\phi, p, p')\}$

**Added**

**foreach**  $p \in P$

**if**  $\phi(p)$  **then return**  $p$

progSize  $\leftarrow$  progSize + 1

# Top-Down Enumeration

---

- Starting from the start non-terminal symbol
- Applying production rules repeatedly

# Top-Down Enumeration

$$S \rightarrow x \mid 1 \mid -S \mid S + S \mid S \times S$$

Iter 0

$S$

Iter 1

$x \quad 1 \quad -S \quad S + S \quad S \times S$

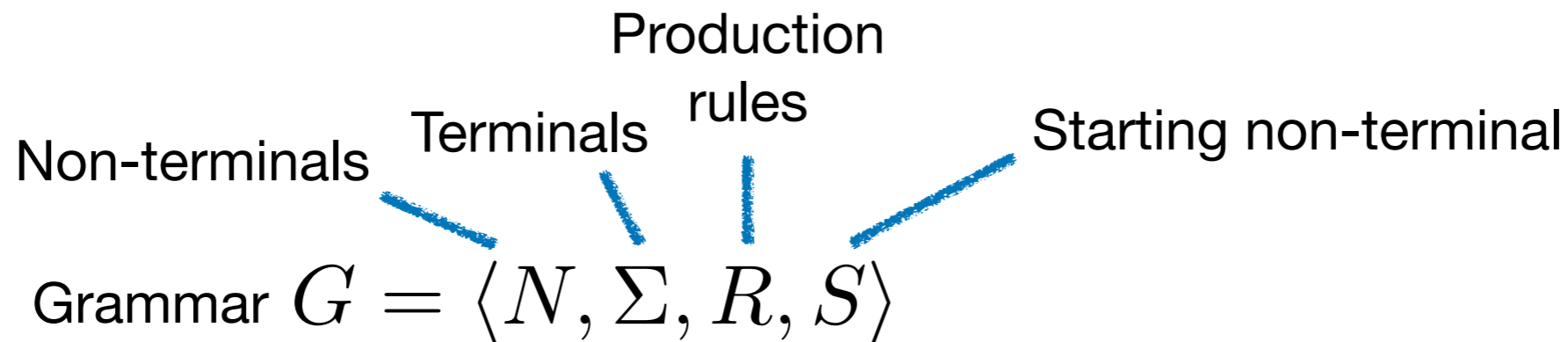
Iter 2

$-x \quad -1 \quad -(-S) \quad x + S \dots$

Iter 3

$-(-x) \quad -(-1) \quad x + 1$

# Top-Down Enumeration



*TopDown*(grammar  $G$ , specification  $\phi$ ) :

$P \leftarrow \{S\}$

while  $P \neq \emptyset$  :

$p \leftarrow \text{Dequeue}(P)$

if  $\phi(p)$  : return  $p$

$P' \leftarrow \text{Unroll}(G, p)$

forall  $p' \in P'$  :

$P \leftarrow \text{Enqueue}(P, p')$

Candidates with fewer non-terminals first

*Unroll*(grammar  $G$ , program  $p$ )

$P' \leftarrow \emptyset$

Nonterminal

forall  $A \in p$  :

forall  $(A \rightarrow B) \in R$  :

$p' \leftarrow p[B/A]$

$P' \leftarrow P' \cup \{p'\}$

return  $P'$

Replace A with B in p

# Optimizations

---

- Maintaining only representatives of equivalence by
- 1) considering *observationally equivalent sub-expressions*
  - E.g., only maintain “ $x + S$ ” or “ $I + S$ ” in the queue as  $x = I$
- 2) breaking *symmetries*
  - E.g., only maintain “ $x + S$ ” or “ $S + x$ ”  
“ $I \times (S + S)$ ” or “ $S + S$ ”

# Top-Down Enumeration (improved)

---

*TopDown*(grammar  $G$ , specification  $\phi$ ) :

$P \leftarrow \{S\}$

while  $P \neq \emptyset$  :

$p \leftarrow \text{Dequeue}(P)$

if  $\phi(p)$  : return  $p$

$P' \leftarrow \text{Unroll}(G, p)$

forall  $p' \in P'$  :

if  $\neg \text{Subsumed}(P, p')$  : **Added**

$P \leftarrow \text{Enqueue}(P, p')$

*Unroll*(grammar  $G$ , program  $p$ )

$P' \leftarrow \emptyset$

forall  $A \in p$  :

forall  $(A \rightarrow B) \in G$  :

$p' \leftarrow p[B/A]$

$P' \leftarrow P' \cup \{p'\}$

return  $P'$



# Other Optimizations

---

- Early pruning of hopeless candidates
  - E.g., when spec is  $f(2) = 3$ ,  $x \times S$  is not maintained in the queue
- Various deductive methods such as type inference, constraint solving, abstract interpretation are used.

# Implementation Details (Top-Down)

---

- A priority queue can be used to prioritize candidates with fewer non-terminals.
- Keeping track of the representatives of equivalence obtained so far can save computation.

# Implementation Details (Bottom-Up)

---

- The `EnumerateExprs` procedure is typically implemented as a *generator*.
- A generator is a function that returns an array.
  - Instead of returning an array containing all the values at once, it *yields* the values one at a time.
  - Requires less memory

# Further Optimization: Divide-and-Conquer

---

- E.g., Target function:  $f(x : \text{int}, y : \text{int}) : \text{int}$

Syntactic:

$$S \rightarrow x \mid y \mid S + S \mid S - S \mid \text{if } B \ S \ S \mid 0 \mid 1 \mid 2$$

$$B \rightarrow S \geq S$$

Semantic:  $f(1, 1) = 1 \wedge f(1, 2) = 2 \wedge f(2, 1) = 2$

# Further Optimization: Divide-and-Conquer

- Find expressions correct wrt *some I/O examples*
- And composing them with conditionals (via Decision tree learning)

Step 1: Propose terms until all points **covered**

Step 2: **Generate predicates**

Partial Solutions

Examples

Predicates

0  
1  
 $x$   
 $y$

(1, 1)  
(1, 2)  
(2, 1)  
...

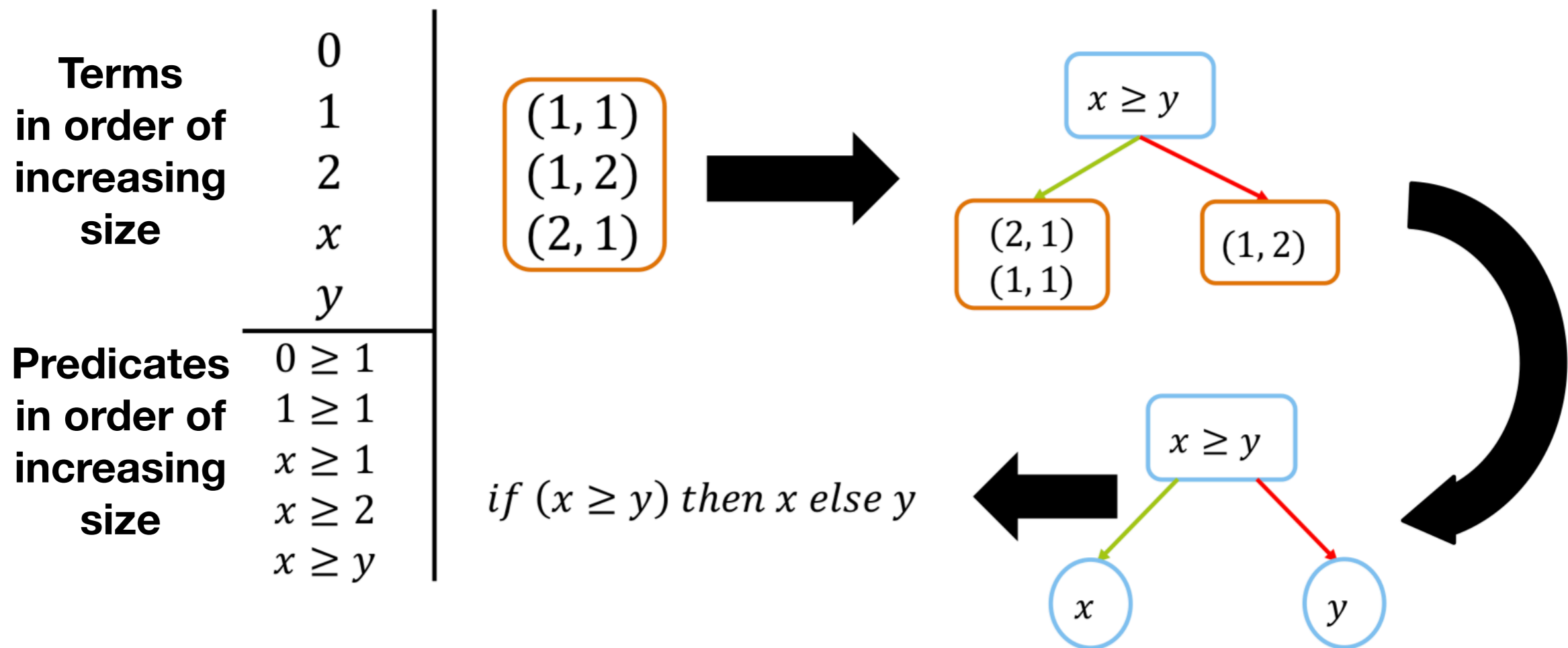
$0 \geq 1$   
 $1 \geq 1$   
 $x \geq 1$   
 $x \geq 2$   
 $x \geq y$

Step 3: **Combine!** *if ( $x \geq y$ ) then  $x$  else  $y$*

# Further Optimization: Divide-and-Conquer

**Term**  $S \rightarrow x \mid y \mid S + S \mid S - S \mid 0 \mid 1 \mid 2$

**Predicate**  $B \rightarrow S \geq S$





# Divide-and-Conquer Enumeration

---

**Algorithm 2** DCSolve: The divide-and-conquer enumeration algorithm

---

**Require:** Conditional expression grammar  $G = \langle G_T, G_P \rangle$

**Require:** Specification  $\Phi$

**Ensure:** Expression  $e$  s.t.  $e \in \llbracket G \rrbracket \wedge e \models \Phi$

```
1: pts  $\leftarrow \emptyset$ 
2: while true do
3:   terms  $\leftarrow \emptyset$ ; preds  $\leftarrow \emptyset$ ; cover  $\leftarrow \emptyset$ ;  $DT = \perp$ 
4:   while  $\bigcup_{t \in \text{terms}} \text{cover}[t] \neq \text{pts}$  do ▷ Term solver
5:     terms  $\leftarrow \text{terms} \cup \text{NEXTDISTINCTTERM}(\text{pts}, \text{terms}, \text{cover})$ 
6:     while  $DT = \perp$  do ▷ Unifier
7:       terms  $\leftarrow \text{terms} \cup \text{NEXTDISTINCTTERM}(\text{pts}, \text{terms}, \text{cover})$ 
8:       preds  $\leftarrow \text{preds} \cup \text{ENUMERATE}(G_P, \text{pts})$ 
9:        $DT \leftarrow \text{LEARNDT}(\text{terms}, \text{preds})$ 
10:  e  $\leftarrow \text{expr}(DT)$ ; cexpt  $\leftarrow \text{verify}(e, \Phi)$  ▷ Verifier
11:  if cexpt =  $\perp$  then return e
12:  pts  $\leftarrow \text{pts} \cup \text{cexpt}$ 
```

# Divide-and-Conquer Enumeration

---

---

## Algorithm 3 Learning Decision Trees

---

**Require:** pts, terms, cover, preds

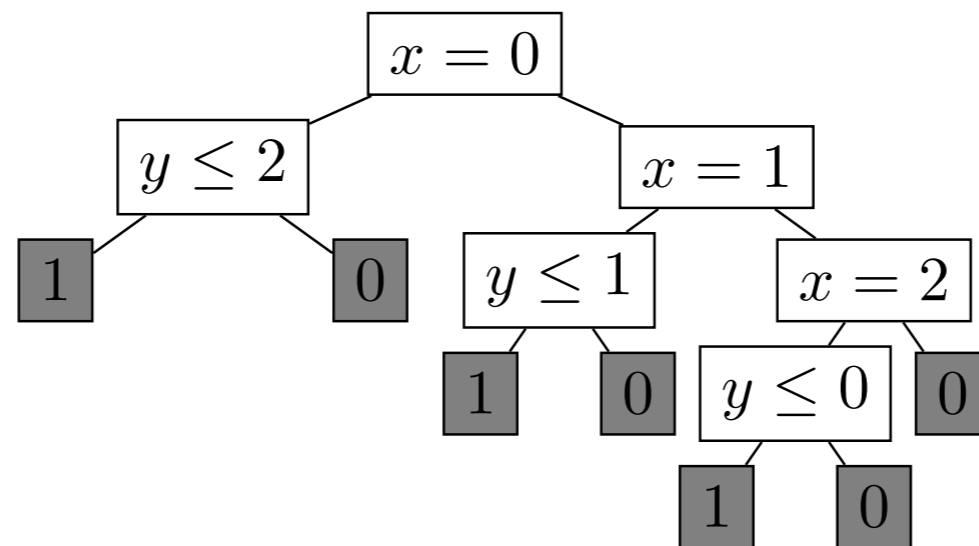
**Ensure:** Decision tree  $DT$

- 1: **if**  $\exists t : \text{pts} \subseteq \text{cover}[t]$  **then return**  $\text{LeafNode}[\mathcal{L} \leftarrow t]$
  - 2: **if**  $\text{preds} = \emptyset$  **then return**  $\perp$
  - 3:  $p \leftarrow$  Pick predicate from preds
  - 4:  $L \leftarrow \text{LEARNDT}(\{\text{pt} \mid p[\text{pt}]\}, \text{terms}, \text{cover}, \text{preds} \setminus \{p\})$
  - 5:  $R \leftarrow \text{LEARNDT}(\{\text{pt} \mid \neg p[\text{pt}]\}, \text{terms}, \text{cover}, \text{preds} \setminus \{p\})$
  - 6: **return**  $\text{InternalNode}[\mathcal{A} \leftarrow p, \text{left} \leftarrow L, \text{right} \leftarrow R]$
-

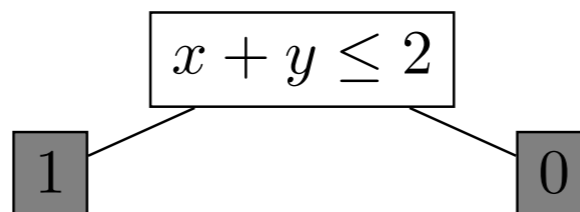
# Divide-and-Conquer Enumeration

---

- To synthesize conditional programs as small as possible,
  - The information gain heuristic is used.
  - More predicates are collected.



(a) Decision tree for predicates of size 3



(b) Decision tree for predicates of size 4

# Overfitting

---

- Input-output examples can be an underspecification.
- E.g.,: The max function  $f(x : \text{int}, y : \text{int}) : \text{int}$

Syntactic constraint:

$$S \rightarrow x \mid y \mid S + S \mid S - S \mid \text{if } B \ S \ S$$

$$B \rightarrow S \leq S \mid S = S$$

Semantic constraint:  $f(3, 1) = 3 \wedge f(1, 2) = 2$

# Bottom-Up Enumeration

Size 1

$$B \mapsto \{ \quad \}$$
$$S \mapsto \{ x \quad y \}$$

Size 2

Size 3

$$B \mapsto \{ x \leq x, x \leq y, y \leq x, y \leq y, \dots \}$$
$$S \mapsto \{ x + x, x + y, y + x, \dots, x * y \}$$

**Not the desired solution!**

# Counter-example Guided Inductive Synthesis (CEGIS)

---

- Enables inductive synthesis strategies beyond I/O examples
- E.g., The max function:  $f(x : \text{int}, y : \text{int}) : \text{int}$

Syntactic constraint:

$$S \rightarrow x \mid y \mid S + S \mid S - S \mid \text{if } B \ S \ S$$
$$B \rightarrow S \leq S \mid S = S$$

Semantic constraint:  ~~$f(3, 1) = 3 \wedge f(1, 2) = 2$~~

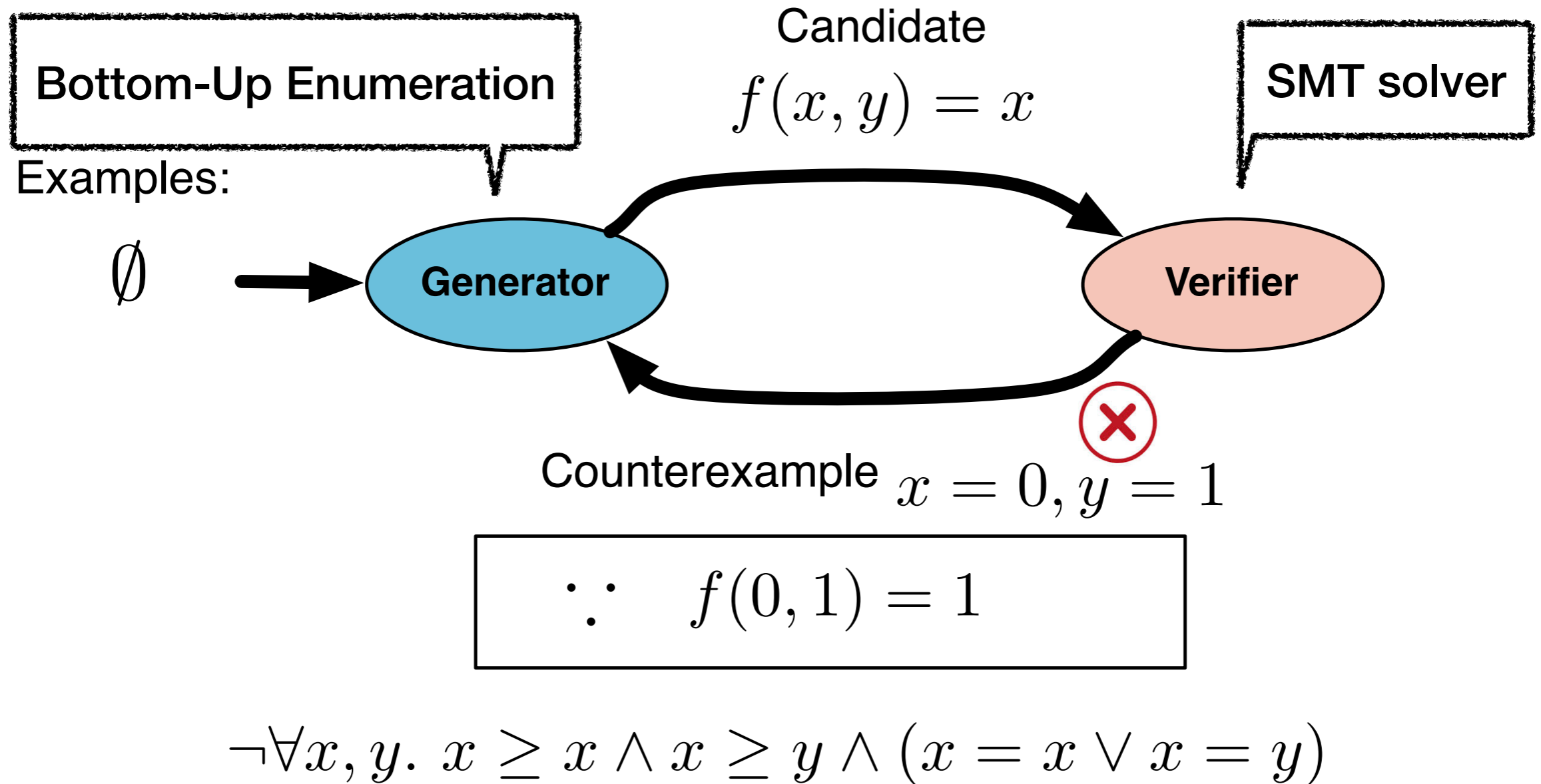
$$\forall x, y. f(x, y) \geq x \wedge f(x, y) \geq y \wedge (f(x, y) = x \vee f(x, y) = y)$$

# Counter-example Guided Inductive Synthesis (CEGIS)

---

- Makes inductive synthesis strategies applicable for beyond I/O examples
- *Generator* proposes candidates.
- *Verifier* checks correctness for each proposed candidate.

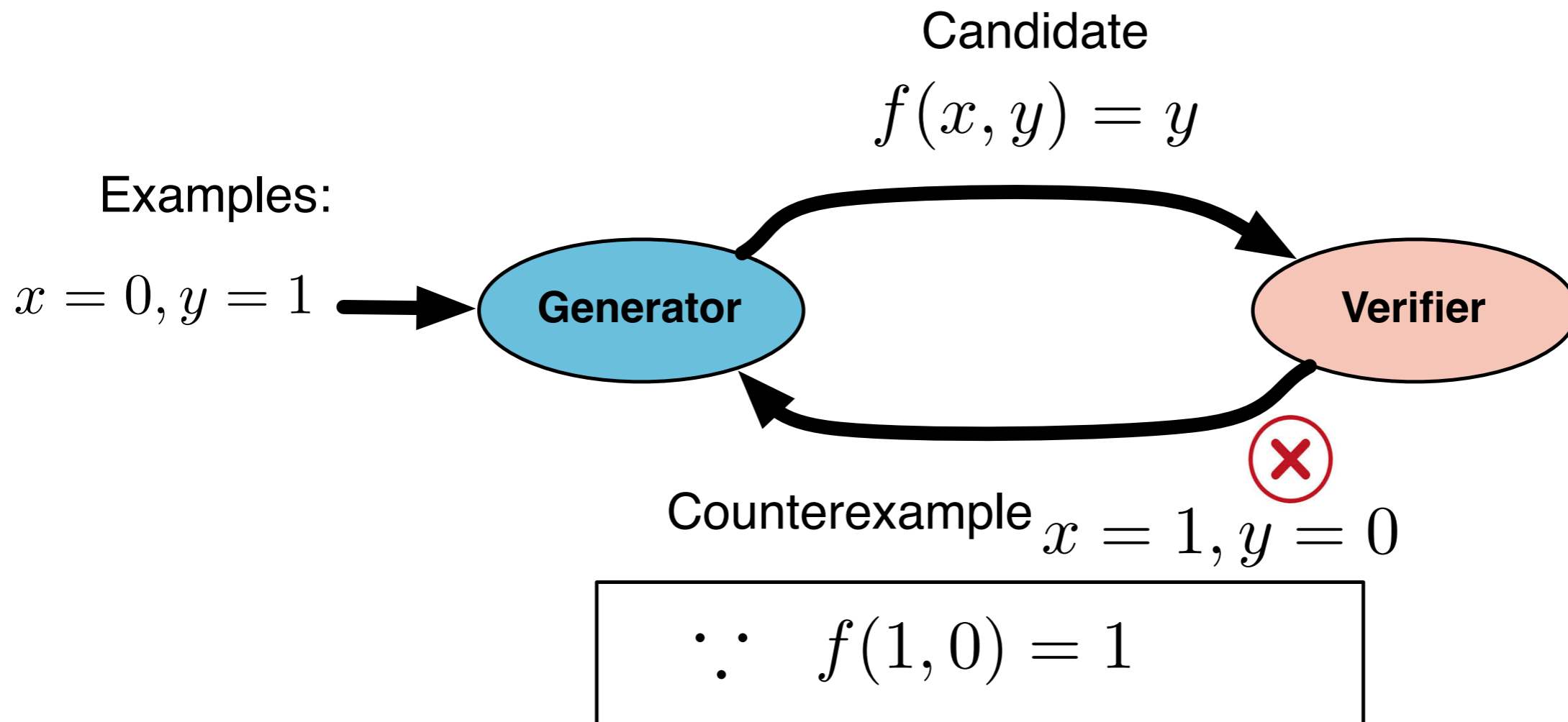
# CEGIS + Bottom-Up Search





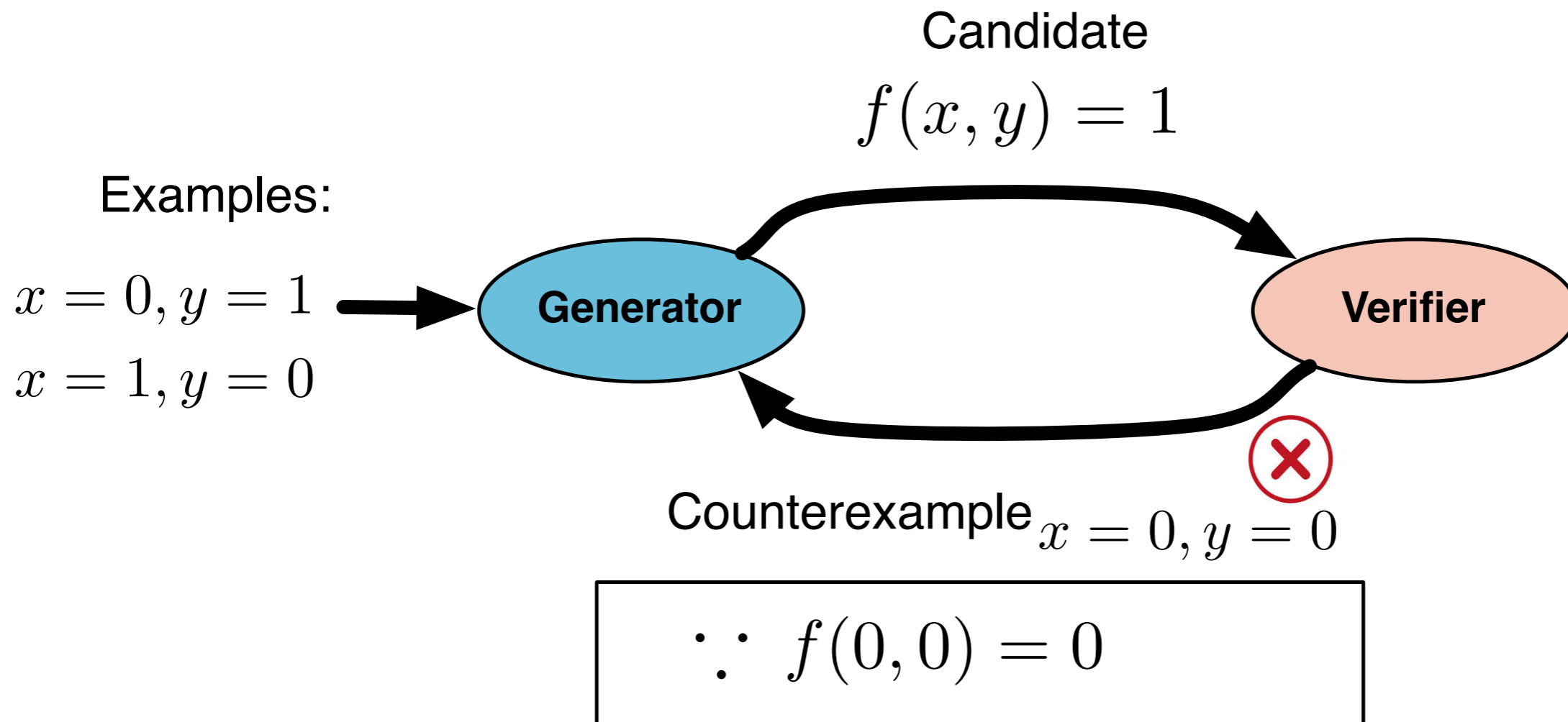
# CEGIS + Bottom-Up Search

---

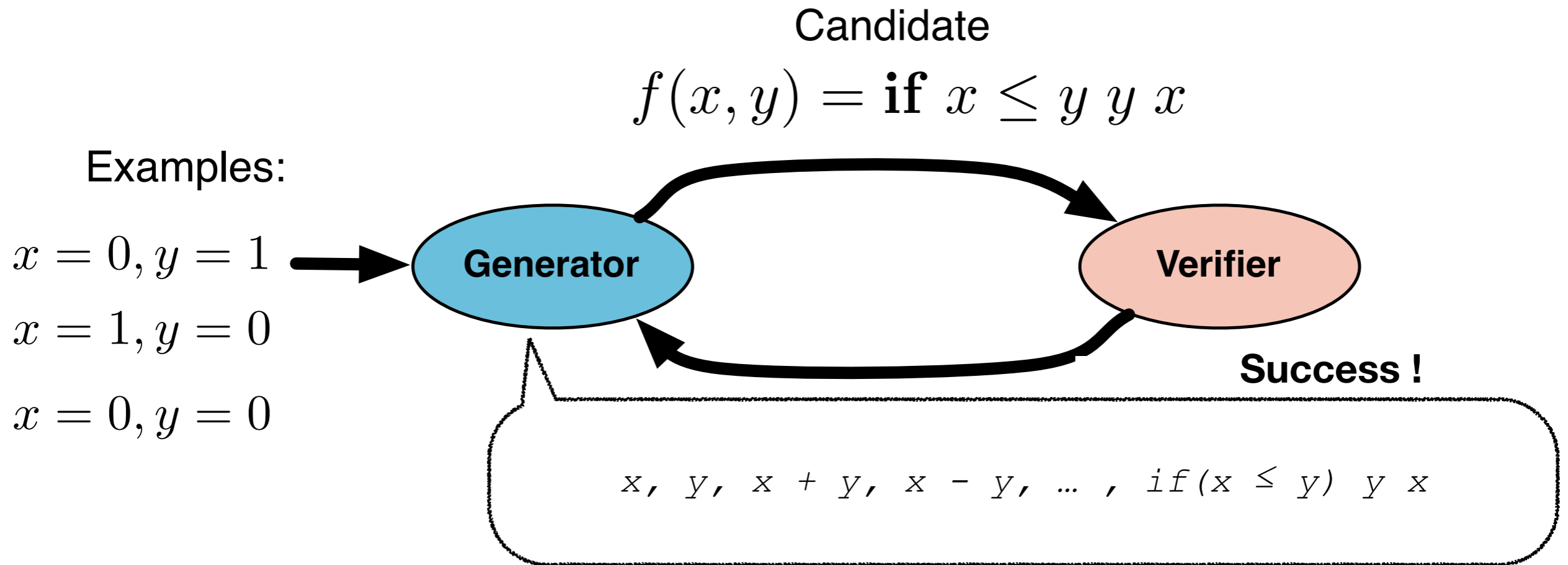


# CEGIS + Bottom-Up Search

---



# CEGIS + Bottom-Up Search



# Benefits of CEGIS

---

- Generator and verifier are independent to each other.
- #. of CEGIS iteration is often small in practice.
  - i.e., candidate correct wrt a few examples is often a solution
  - Programmers often aspire to write programs correct wrt a few corner cases
  - which gives performance benefits for various generators
    - e.g., constraint solving-based synthesizers – may handle smaller constraints
    - e.g., enumeration-based synthesizer – can enjoy better optimization impacts such as observational equivalence

# Limitations of Enumerative Synthesis

---

- Pros
  - Generally applicable to almost any kinds of specs
  - Easy to implement
- Cons
  - Limited scalability: cannot synthesize large programs!