

# Specialized Static Analysis Framework: Type Inference

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# Goal of This Lecture

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- Learn practical alternatives to the aforementioned general, abstract interpretation framework
- For simple languages and properties, there are frameworks that are simple yet powerful enough
- But with several limitations

# Static Analysis by Proof Construction

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- Static analysis = proof construction in a finite proof system
- Finite proof system = a finite set of inference rules for a predefined set of judgements
- The soundness corresponds to the soundness of the proof system
  - The input program is provable  $\Rightarrow$  the program satisfies the proven judgement.

# Example: Type Inference

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- A simple ML-like language

$$\begin{array}{l} E \rightarrow n \\ | \\ x \\ | \\ E + E \\ | \\ E - E \\ | \\ \text{iszero } E \\ | \\ \text{if } E \text{ then } E \text{ else } E \\ | \\ \text{let } x = E \text{ in } E \\ | \\ \text{proc } x E \\ | \\ E E \end{array}$$

# Types

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Types are defined inductively:

$$\begin{array}{l} T \rightarrow \text{int} \\ | \text{bool} \\ | T \rightarrow T \end{array}$$

Examples:

- int
- bool
- $\text{int} \rightarrow \text{int}$
- $\text{bool} \rightarrow \text{int}$
- $\text{int} \rightarrow (\text{int} \rightarrow \text{bool})$
- $(\text{int} \rightarrow \text{int}) \rightarrow (\text{bool} \rightarrow \text{bool})$
- $(\text{int} \rightarrow \text{int}) \rightarrow (\text{bool} \rightarrow (\text{bool} \rightarrow \text{int}))$

# Types of Expressions

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- Judgement that says expression  $E$  has type  $t$  is written as

$$\Gamma \vdash e : t$$

- $\Gamma$  is a set of type assumptions for the free variables in  $E$  (called *type environment*)

$$\Gamma : Var \rightarrow T$$

# Examples

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- $[] \vdash 3 : \text{int}$
- $[x \mapsto \text{int}] \vdash x : \text{int}$
- $[] \vdash 4 - 3 :$
- $[x \mapsto \text{int}] \vdash x - 3 :$
- $[] \vdash \text{iszero } 11 :$
- $[] \vdash \text{proc } (x) (x - 11) :$
- $[] \vdash \text{proc } (x) (\text{let } y = x - 11 \text{ in } (x - y)) :$
- $[] \vdash \text{proc } (x) (\text{if } x \text{ then } 11 \text{ else } 22) :$
- $[] \vdash \text{proc } (x) (\text{proc } (y) \text{ if } y \text{ then } x \text{ else } 11) :$
- $[] \vdash \text{proc } (f) (\text{if } (f \ 3) \text{ then } 11 \text{ else } 22) :$
- $[] \vdash (\text{proc } (x) x) 1 :$
- $[f \mapsto \text{int} \rightarrow \text{int}] \vdash (f (f \ 1)) :$

# Type System

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Inductive rules for assigning types to expressions:

$$\begin{array}{c} \overline{\Gamma \vdash n : \text{int}} \quad \overline{\Gamma \vdash x : \Gamma(x)} \\ \\ \frac{\Gamma \vdash E_1 : \text{int} \quad \Gamma \vdash E_2 : \text{int}}{\Gamma \vdash E_1 + E_2 : \text{int}} \quad \frac{\Gamma \vdash E_1 : \text{int} \quad \Gamma \vdash E_2 : \text{int}}{\Gamma \vdash E_1 - E_2 : \text{int}} \\ \\ \frac{\Gamma \vdash E : \text{int}}{\Gamma \vdash \text{iszero } E : \text{bool}} \quad \frac{\Gamma \vdash E_1 : \text{bool} \quad \Gamma \vdash E_2 : t \quad \Gamma \vdash E_3 : t}{\Gamma \vdash \text{if } E_1 \text{ then } E_2 \text{ else } E_3 : t} \\ \\ \frac{\Gamma \vdash E_1 : t_1 \quad [x \mapsto t_1]\Gamma \vdash E_2 : t_2}{\Gamma \vdash \text{let } x = E_1 \text{ in } E_2 : t_2} \quad \frac{\Gamma \vdash E_1 : t_1 \rightarrow t_2 \quad \Gamma \vdash E_2 : t_1}{\Gamma \vdash E_1 E_2 : t_2} \\ \\ \frac{[x \mapsto t_1]\Gamma \vdash E : t_2}{\Gamma \vdash \text{proc } x E : t_1 \rightarrow t_2} \end{array}$$

We say that a closed expression  $E$  has type  $t$  iff we can derive  $[] \vdash E : t$ .



# Example

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Program  $\text{proc } (x) (x - 11)$  is typed  $\text{int} \rightarrow \text{int}$

because we can prove  $\square \vdash \text{proc } (x) (x - 11) : \text{int} \rightarrow \text{int}$

as follows:

$$\frac{}{\square \vdash \text{proc } (x) (x - 11) : \text{int} \rightarrow \text{int}}$$

# Soundness of Type System

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## Theorem (Soundness of the proof rules)

*Let  $E$  be a program, an expression without free variables. If  $\emptyset \vdash E : \tau$ , then the program runs without a type error and returns a value of type  $\tau$  if it terminates.*

# Automatic Type Inference

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- A static analysis algorithm that automatically figures out types of expressions by observing how they are used.
- The algorithm is *sound and complete* with respect to the type system design.
  - ▶ (Sound) If the analysis finds a type for an expression, the expression is well-typed with the type according to the type system.
  - ▶ (Complete) If an expression has a type according to the type system, the analysis is guaranteed to find the type.
- The algorithm consists of two steps:
  - ① Generate type equations from the program text.
  - ② Solve the equations.

# Generating Type Equations

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For every subexpression and variable, introduce type variables and derive equations between the type variables.

# Type Equations

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- Type equations are conjunctions of “type equalities”: e.g.,

$$t_0 = t_f \rightarrow t_1$$

$$t_1 = t_x \rightarrow t_4$$

$$t_3 = \text{int}$$

$$t_4 = \text{int}$$

$$t_2 = \text{int}$$

$$t_f = \text{int} \rightarrow t_3$$

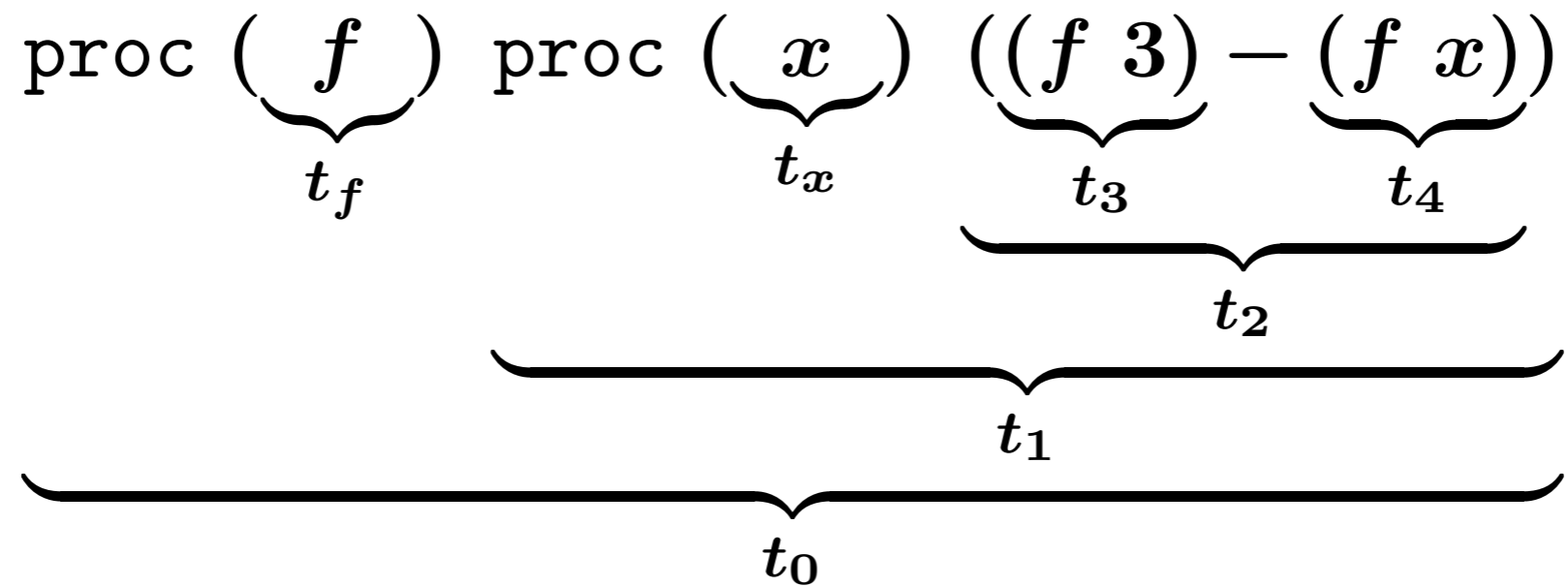
$$t_f = t_x \rightarrow t_4$$

- Type equations ( $TyEqn$ ) are defined inductively:

$$\begin{array}{l} TyEqn \rightarrow \emptyset \\ | \quad T \doteq T \wedge TyEqn \end{array}$$

# Example

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$t_0 = t_f \rightarrow t_1$   
 $t_1 = t_x \rightarrow t_4$   
 $t_3 = \text{int}$   
 $t_4 = \text{int}$   
 $t_2 = \text{int}$   
 $t_f = \text{int} \rightarrow t_3$   
 $t_f = t_x \rightarrow t_4$

# Example

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proc  $\underbrace{(f)}_{t_f}$   $\underbrace{(f\ 11)}_{t_1}$

$\underbrace{\hspace{10em}}_{t_0}$

$t_0 = t_f \rightarrow t_1$   
 $t_f = \text{int} \rightarrow t_1$

# Example

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if  $\underbrace{x}_{t_x}$  then  $\underbrace{(x - 1)}_{t_1}$  else 0

$\underbrace{\hspace{15em}}_{t_0}$

$t_x = \text{bool}$

$t_1 = t_0$

int =  $t_0$

$t_x = \text{int}$

$t_1 = \text{int}$



# Generating Type Equations

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- Algorithm for generating equations:

$$\mathcal{V} : (Var \rightarrow T) \times E \times T \rightarrow TyEqn$$

- $\mathcal{V}(\Gamma, e, t)$  generates the condition for  $e$  to have type  $t$  in  $\Gamma$ :

$$\Gamma \vdash e : t \text{ iff } \mathcal{V}(\Gamma, e, t) \text{ is satisfied.}$$

- Examples:

- ▶  $\mathcal{V}([x \mapsto \text{int}], x+1, \alpha) = \alpha \doteq \text{int}$

- ▶  $\mathcal{V}(\emptyset, \text{proc } (x) (\text{if } x \text{ then } 1 \text{ else } 2), \alpha \rightarrow \beta) =$   
 $\alpha \doteq \text{bool} \wedge \beta \doteq \text{int}$

- To derive type equations for closed expression  $E$ , we call  $\mathcal{V}(\emptyset, E, \alpha)$ , where  $\alpha$  is a fresh type variable.

# Generating Type Equations

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$$\mathcal{V}(\Gamma, n, t) = t \doteq \text{int}$$

$$\mathcal{V}(\Gamma, x, t) = t \doteq \Gamma(x)$$

$$\mathcal{V}(\Gamma, e_1 + e_2, t) = t \doteq \text{int} \wedge \mathcal{V}(\Gamma, e_1, \text{int}) \wedge \mathcal{V}(\Gamma, e_2, \text{int})$$

$$\mathcal{V}(\Gamma, \text{iszero } e, t) = t \doteq \text{bool} \wedge \mathcal{V}(\Gamma, e, \text{int})$$

$$\mathcal{V}(\Gamma, \text{if } e_1 \ e_2 \ e_3, t) = \mathcal{V}(\Gamma, e_1, \text{bool}) \wedge \mathcal{V}(\Gamma, e_2, t) \wedge \mathcal{V}(\Gamma, e_3, t)$$

$$\mathcal{V}(\Gamma, \text{let } x = e_1 \ \text{in } e_2, t) = \mathcal{V}(\Gamma, e_1, \alpha) \wedge \mathcal{V}([x \mapsto \alpha]\Gamma, e_2, t) \text{ (new } \alpha)$$

$$\mathcal{V}(\Gamma, \text{proc } (x) \ e, t) = t \doteq \alpha_1 \rightarrow \alpha_2 \wedge \mathcal{V}([x \mapsto \alpha_1]\Gamma, e, \alpha_2) \\ \text{(new } \alpha_1, \alpha_2)$$

$$\mathcal{V}(\Gamma, e_1 \ e_2, t) = \mathcal{V}(\Gamma, e_1, \alpha \rightarrow t) \wedge \mathcal{V}(\Gamma, e_2, \alpha) \text{ (new } \alpha)$$

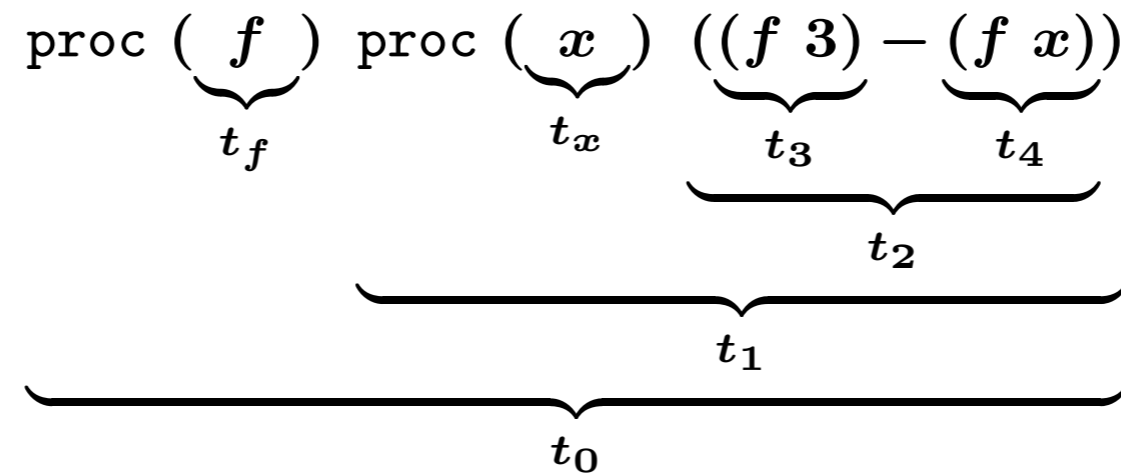
# Example

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$$\begin{aligned} & \mathcal{V}(\emptyset, (\text{proc } (x) (x)) \ 1, \alpha) \\ &= \mathcal{V}(\emptyset, \text{proc } (x) (x), \alpha_1 \rightarrow \alpha) \wedge \mathcal{V}(\emptyset, 1, \alpha_1) && \text{new } \alpha_1 \\ &= \alpha_1 \rightarrow \alpha \doteq \alpha_2 \rightarrow \alpha_3 \wedge \mathcal{V}([x \mapsto \alpha_2], x, \alpha_3) \wedge \alpha_1 \doteq \text{int} && \text{new } \alpha_2, \alpha_3 \\ &= \alpha_1 \rightarrow \alpha \doteq \alpha_2 \rightarrow \alpha_3 \wedge \alpha_2 \doteq \alpha_3 \wedge \alpha_1 \doteq \text{int} \end{aligned}$$

# Finding a Solution of Type Equations

Find the values of type variables that make all the equations true.



Equations		Solution	
$t_0$	$= t_f \rightarrow t_1$	$t_0$	$= (\text{int} \rightarrow \text{int}) \rightarrow (\text{int} \rightarrow \text{int})$
$t_1$	$= t_x \rightarrow t_2$	$t_1$	$= \text{int} \rightarrow \text{int}$
$t_3$	$= \text{int}$	$t_2$	$= \text{int}$
$t_4$	$= \text{int}$	$t_3$	$= \text{int}$
$t_2$	$= \text{int}$	$t_4$	$= \text{int}$
$t_f$	$= \text{int} \rightarrow t_3$	$t_f$	$= \text{int} \rightarrow \text{int}$
$t_f$	$= t_x \rightarrow t_4$	$t_x$	$= \text{int}$

Static type systems find such a solution using *unification algorithm*.

# Finding a Solution of Type Equations

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The calculation is split into equations to be solved and substitution found so far. Initially, the substitution is empty:

	Equations	Substitution
$t_0$	$= t_f \rightarrow t_1$	
$t_1$	$= t_x \rightarrow t_2$	
$t_3$	$= \text{int}$	
$t_4$	$= \text{int}$	
$t_2$	$= \text{int}$	
$t_f$	$= \text{int} \rightarrow t_3$	
$t_f$	$= t_x \rightarrow t_4$	

# Finding a Solution of Type Equations

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Consider each equation in turn. If the equation's left-hand side is a variable, move it to the substitution:

Equations		Substitution	
$t_1$	$= t_x \rightarrow t_2$	$t_0$	$= t_f \rightarrow t_1$
$t_3$	$= \text{int}$		
$t_4$	$= \text{int}$		
$t_2$	$= \text{int}$		
$t_f$	$= \text{int} \rightarrow t_3$		
$t_f$	$= t_x \rightarrow t_4$		

# Finding a Solution of Type Equations

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Move the next equation to the substitution and propagate the information to the existing substitution (i.e., substitute the right-hand side for each occurrence of  $t_1$ ):

Equations	Substitution
$t_3 = \text{int}$	$t_0 = t_f \rightarrow (t_x \rightarrow t_2)$
$t_4 = \text{int}$	$t_1 = t_x \rightarrow t_2$
$t_2 = \text{int}$	
$t_f = \text{int} \rightarrow t_3$	
$t_f = t_x \rightarrow t_4$	

# Finding a Solution of Type Equations

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Same for the next three equations:

Equations		Substitution	
$t_4 = \text{int}$		$t_0 = t_f \rightarrow (t_x \rightarrow t_2)$	
$t_2 = \text{int}$		$t_1 = t_x \rightarrow t_2$	
$t_f = \text{int} \rightarrow t_3$		$t_3 = \text{int}$	
$t_f = t_x \rightarrow t_4$			
Equations		Substitution	
$t_2 = \text{int}$		$t_0 = t_f \rightarrow (t_x \rightarrow t_2)$	
$t_f = \text{int} \rightarrow t_3$		$t_1 = t_x \rightarrow t_2$	
$t_f = t_x \rightarrow t_4$		$t_3 = \text{int}$	
		$t_4 = \text{int}$	
Equations		Substitution	
$t_f = \text{int} \rightarrow t_3$		$t_0 = t_f \rightarrow (t_x \rightarrow \text{int})$	
$t_f = t_x \rightarrow t_4$		$t_1 = t_x \rightarrow \text{int}$	
		$t_3 = \text{int}$	
		$t_4 = \text{int}$	
		$t_2 = \text{int}$	



# Finding a Solution of Type Equations

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Consider the next equation  $t_f = \text{int} \rightarrow t_3$ . The equation contains  $t_3$ , which is already bound to  $\text{int}$  in the substitution. Substitute  $\text{int}$  for  $t_3$  in the equation. This is called *applying* the substitution to the equation.

Equations	Substitution
$t_f = \text{int} \rightarrow \text{int}$	$t_0 = t_f \rightarrow (t_x \rightarrow \text{int})$
$t_f = t_x \rightarrow t_4$	$t_1 = t_x \rightarrow \text{int}$
	$t_3 = \text{int}$
	$t_4 = \text{int}$
	$t_2 = \text{int}$

Move the resulting equation to the substitution and update it.

Equations	Substitution
$t_f = t_x \rightarrow t_4$	$t_0 = (\text{int} \rightarrow \text{int}) \rightarrow (t_x \rightarrow \text{int})$
	$t_1 = t_x \rightarrow \text{int}$
	$t_3 = \text{int}$
	$t_4 = \text{int}$
	$t_2 = \text{int}$
	$t_f = \text{int} \rightarrow \text{int}$

# Finding a Solution of Type Equations

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Apply the substitution to the equation:

Equations	Substitution
$\text{int} \rightarrow \text{int} = t_x \rightarrow \text{int}$	$t_0 = (\text{int} \rightarrow \text{int}) \rightarrow (t_x \rightarrow \text{int})$
	$t_1 = t_x \rightarrow \text{int}$
	$t_3 = \text{int}$
	$t_4 = \text{int}$
	$t_2 = \text{int}$
	$t_f = \text{int} \rightarrow \text{int}$

If neither side of the equation is a variable, simplify the equation by yielding two new equations:

Equations	Substitution
$\text{int} = t_x$	$t_0 = (\text{int} \rightarrow \text{int}) \rightarrow (t_x \rightarrow \text{int})$
$\text{int} = \text{int}$	$t_1 = t_x \rightarrow \text{int}$
	$t_3 = \text{int}$
	$t_4 = \text{int}$
	$t_2 = \text{int}$
	$t_f = \text{int} \rightarrow \text{int}$

# Finding a Solution of Type Equations

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Switch the sides of the first equation and move it to the substitution:

Equations	Substitution
$\text{int} = \text{int}$	$t_0 = (\text{int} \rightarrow \text{int}) \rightarrow (\text{int} \rightarrow \text{int})$
	$t_1 = \text{int} \rightarrow \text{int}$
	$t_3 = \text{int}$
	$t_4 = \text{int}$
	$t_2 = \text{int}$
	$t_f = \text{int} \rightarrow \text{int}$
	$t_x = \text{int}$

The final substitution is the solution of the original equations.

# Finding a Solution of Type Equations

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proc  $\underbrace{(f)}_{t_f}$  (iszero  $\underbrace{(f f)}_{t_2}$ )  
 $\underbrace{\hspace{10em}}_{t_1}$   
 $\underbrace{\hspace{15em}}_{t_0}$

$$t_0 = t_f \rightarrow t_1$$

$$t_1 = \text{bool}$$

$$t_2 = \text{int}$$

$$t_f = t_f \rightarrow t_2$$

# Finding a Solution of Type Equations

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Solving as usual, we encounter a problem:

Equations	Substitution
$t_f = t_f \rightarrow \text{int}$	$t_0 = t_f \rightarrow \text{bool}$
	$t_1 = \text{bool}$
	$t_2 = \text{int}$

- There is no type  $t_f$  that satisfies the equation, because the right-hand side of the equation is always larger than the left.
- If we ever deduce an equation of the form  $t = \dots t \dots$  where the type variable  $t$  occurs in the right-hand side, we must conclude that there is no solution. This is called *occurrence check*.

# Unification Algorithm

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For each equation in turn,

- Apply the current substitution to the equation.
- If the equation is always true (e.g.  $\text{int} = \text{int}$ ), discard it.
- If the left- and right-hand sides are contradictory (e.g.  $\text{bool} = \text{int}$ ), the algorithm fails.
- If neither side is a variable (e.g.  $\text{int} \rightarrow t_1 = t_2 \rightarrow \text{bool}$ ), simplify the equation, which eventually generates an equation whose left- or right-hand side is a variable.
- If the left-hand side is not a variable, switch the sides.
- If the left-hand side variable occurs in the right-hand side, the algorithm fails.
- Otherwise, move it to the substitution and substitute the right-hand side for each occurrence of the variable in the substitution.

# Substitutions

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Solutions of type equations are represented by substitution:

$$S \in \mathit{Subst} = \mathit{TyVar} \rightarrow T$$

Applying a substitution to a type:

$$\begin{aligned} S(\mathit{int}) &= \mathit{int} \\ S(\mathit{bool}) &= \mathit{bool} \\ S(\alpha) &= \begin{cases} t & \text{if } \alpha \mapsto t \in S \\ \alpha & \text{otherwise} \end{cases} \\ S(T_1 \rightarrow T_2) &= S(T_1) \rightarrow S(T_2) \end{aligned}$$

# Example

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Applying the substitution

$$S = \{t_1 \mapsto \text{int}, t_2 \mapsto \text{int} \rightarrow \text{int}\}$$

to to the type  $(t_1 \rightarrow t_2) \rightarrow (t_3 \rightarrow \text{int})$ :

$$\begin{aligned} & S((t_1 \rightarrow t_2) \rightarrow (t_3 \rightarrow \text{int})) \\ &= S(t_1 \rightarrow t_2) \rightarrow S(t_3 \rightarrow \text{int}) \\ &= (S(t_1) \rightarrow S(t_2)) \rightarrow (S(t_3) \rightarrow S(\text{int})) \\ &= (\text{int} \rightarrow (\text{int} \rightarrow \text{int})) \rightarrow (t_3 \rightarrow \text{int}) \end{aligned}$$



# Unification Algorithm

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Update the current substitution with equality  $t_1 \doteq t_2$ .

**unify** :  $T \times T \times Subst \rightarrow Subst$

$$\mathbf{unify}(\text{int}, \text{int}, S) = S$$

$$\mathbf{unify}(\text{bool}, \text{bool}, S) = S$$

$$\mathbf{unify}(\alpha, \alpha, S) = S$$

$$\mathbf{unify}(\alpha, t, S) = \begin{cases} \text{fail} & \alpha \text{ occurs in } t \\ \text{extend } S \text{ with } \alpha \doteq t & \text{otherwise} \end{cases}$$

$$\mathbf{unify}(t, \alpha, S) = \mathbf{unify}(\alpha, t, S)$$

$$\mathbf{unify}(t_1 \rightarrow t_2, t'_1 \rightarrow t'_2, S) = \text{let } S' = \mathbf{unify}(t_1, t'_1, S) \text{ in} \\ \text{let } S'' = \mathbf{unify}(S'(t_2), S'(t'_2), S') \text{ in} \\ S''$$

$$\mathbf{unify}(-, -, -) = \text{fail}$$

# Unification Algorithm

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$\text{unifyall} : \text{TyEqn} \rightarrow \text{Subst} \rightarrow \text{Subst}$

$\text{unifyall}(\emptyset, S) = S$

$\text{unifyall}((t_1 \doteq t_2) \wedge u, S) = \text{let } S' = \text{unify}(S(t_1), S(t_2), S) \text{ in } \text{unifyall}(u, S')$

Let  $\mathcal{U}$  be the final unification algorithm:

$\mathcal{U}(u) = \text{unifyall}(u, \emptyset)$

# Automatic Type Inference

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**typeof** :  $E \rightarrow T$

The final type inference algorithm that composes equation derivation ( $\mathcal{V}$ ) and equation solving ( $\mathcal{U}$ ):

$$\begin{aligned} \text{typeof}(E) = & \\ & \text{let } S = \mathcal{U}(\mathcal{V}(\emptyset, E, \alpha)) \quad (\text{new } \alpha) \\ & \text{in } S(\alpha) \end{aligned}$$

# Example

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`typeof((proc (x) x) 1)`

# Correctness of Automatic Type Inference

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Theorem (Correctness of the algorithm)

*Solving the equations  $\equiv$  proving in the simple type system*

# Limitations

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- For target languages that lack a sound static type system, we have to invent it.
  - Design a finite proof system
  - Prove the soundness of the proof system
  - Design its algorithm that automates proving
  - Prove the correctness of the algorithm
- What if the unification procedure is not enough?
  - For some properties, the algorithm can generate constraints that are unsolvable by the unification procedure
- For some conventional imperative language, sound and precise-enough static type systems are elusive.